Print

New York's 529 College Savings Program

Advisor Plan

Please return your completed form to: PO Box 55447
Boston, MA 02205-5447

Class Z Eligibility Form

Please write in all capital letters, using blue or black ballpoint pen, and be sure to fill out the form completely. Incomplete forms cannot be processed.

Investors interested in purchasing New York's 529 College Savings Program *Advisor Plan* class Z units must verify their eligibility by completing this form and attaching it to their Enrollment Application.

Investor information

Account Owner's name ______________________ Social Security number ______________________

Address ______________________

City ______________________ State ____________ Zip code ____________

( ) ______________________
Daytime telephone number

Eligibility verification

Please certify your eligibility by selecting the appropriate box(es) and signing below:

- ☐ I am an employee of Bank of America Corporation or one of its subsidiaries.
  - ☐ I am a family member of the above.
- ☐ I am a trustee or director of any mutual fund distributed by Columbia Management Distributors, Inc. (CMD).
  - ☐ I am a family member of the above.
- ☐ I am a holder of class Z shares of a mutual fund distributed by CMD.
  - ☐ I am a family member or person listed on an account registration for any account of an above-mentioned shareholder. (Please provide account number and/or Social Security number associated with this account.)____________________
- ☐ I am a holder of class A shares of a mutual fund distributed by CMD that were obtained by exchanging class Z shares.
  - ☐ I am a family member or person listed on an account registration for any account of an above-mentioned shareholder. (Please provide account number and/or Social Security number associated with this account.)____________________
- ☐ I purchased certain no-load shares of a mutual fund that merged with a mutual fund distributed by CMD.
  - ☐ I am a family member or person listed on an account registration for any account of an above-mentioned shareholder. (Please provide account number and/or Social Security number associated with this account.)____________________

If you have any questions about your eligibility to purchase class Z units, please call 1-800-774-2108.

Signature ______________________ Date ____________

These criteria are subject to change.

New York's 529 College Savings Program currently includes two separate 529 plans. The Advisor Plan is sold exclusively through financial advisors. You may also participate in the Direct Plan, which is sold directly by the Program and offers lower fees. However the investment options available under the Advisor Plan are not available under the Direct Plan. The fees and expenses of the Advisor Plan include compensation to the financial advisor. Be sure to understand the options available before making an investment decision.

New York's 529 College Savings Program *Advisor Plan* is described in the current applicable Program Brochure and Tuition Savings Agreement. Accounts are opened by completing an Enrollment Application. All of these should be read carefully before opening an account. None of the State of New York, its agencies, the Federal Deposit Insurance Corporation (FDIC), Columbia Management Group, LLC or its affiliates, or Upromise Investments, Inc. insures accounts or guarantees the principal deposited therein or any investment returns on any account or investment portfolio. The value of your account will vary based on market conditions and the performance of the investment options you select, and may be more or less than the amount you deposit. Tax benefits are subject to certain limitations and certain withdrawals are subject to federal, state and local taxes. If you are a resident or taxpayer of another state, you should consider whether that state offers a 529 Plan with tax or other benefits that are not available through this Program. You should consult your tax advisor. Investments may be made through financial advisors that have entered into Advisor Plan selling agreements with Columbia Management Distributors, Inc., distributor.

Columbia Management Distributors, Inc.
One Financial Center, Boston, MA 02111-2621

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