Print

New York's 529 College Savings Program

Advisor Plan

Please return your completed form to: PO Box 55447, Boston, MA 02205-5447

Automatic Investment Plan/ Electronic Bank Transfer Form

Please write in all capital letters, using blue or black ballpoint pen, and be sure to fill out the form completely. Incomplete forms cannot be processed.

1. This form should be completed if you would like to add the Automatic Investment Plan (AIP), make a future Electronic Bank Transfer (EBT) or update existing bank account information.
2. Use one form for each Designated Beneficiary.
3. It may take 3-5 business days from the date this form is received to setup or change your option.
4. To get more information or to find additional forms call 1-800-774-2108, or visit www.ny529advisor.com.

1. What would you like to do?

☐ Add an AIP option ☐ Update an existing AIP ☐ Add EBT option ☐ Change bank account information

Your bank must be a member of the Automated Clearing House System to use these services.

Existing account number ______________________________

2. Account Owner and Designated Beneficiary information

Name of Account Owner or trustee (first) (m.i.) (last)

Name of Designated Beneficiary (first) (m.i.) (last)

3. Bank account information

Complete this section and just attach a check marked **VOID** in the box on page 2. **Do not use a deposit slip.** If you do not include a voided check, or you want to use a savings account, please complete the rest of the information in this section.

The account owner of the 529 account must be listed in the bank registration. The bank account can not be a business account.

Account type: ☐ Checking ☐ Savings

Bank account number **(Do not use spaces or dashes)**

Bank routing number **(your bank can provide this)**

3. Bank account information (continued)

Name of bank

Bank street address

City | State | Zip

Bank phone number

Name of bank account owner (first) (m.i.) (last)

Name of joint owner, if applicable (first) (m.i.) (last)

Please tape check marked "VOID" here.

Electronic Bank Transfer ($50 minimum)

By providing your bank account information in this section, you authorize the **New York's 529 College Savings Program *Advisor Plan*** to process requests to have assets transferred from your specified bank account to your **New York's 529 College Savings Program *Advisor Plan*** account via EBT. Requests can be made at any time by calling 1-800-774-2108 or by visiting **www.ny529advisor.com**. EBT contributions are usually received within two business days after the date of the request. EBT contributions received by the Plan before 4 PM (Eastern Time) on a business day will receive that day's closing price; contributions received after 4 PM (Eastern Time) will receive the next business day's closing price.

4. Automatic Investment Plan information

You can choose to have contributions to your **New York's 529 College Savings Program *Advisor Plan*** account automatically withdrawn from your bank account. Your bank must be a member of the Automated Clearing House System to use these services.

Be sure to read the important disclosure information included in this section.

Frequency

Contributions will be made ☐ monthly ($50 minimum) ☐ quarterly ($150 minimum)

beginning on the ____ **DAY** of the ____ **MONTH**.

If no date is indicated, withdrawals will be made on the 15th of each month. If the withdrawal date (or the 15th of the month, where applicable) falls on a weekend or holiday, withdrawals will be made on the next business day.

Existing account number ______________________________

4. Automatic Investment Plan information (continued)

Investment selections

Please write the amount you would like invested next to investment option.

Asset allocation portfolios:

$______ Aggressive Growth NY 529 Portfolio
$______ Growth NY 529 Portfolio
$______ Moderate Growth NY 529 Portfolio
$______ Balanced NY 529 Portfolio
$______ Conservative NY 529 Portfolio
$______ College NY 529 Portfolio
$______ Diversified Income NY 529 Portfolio
$______ Current Income NY 529 Portfolio

Customized portfolios:

$______ Columbia Acorn NY 529 Portfolio
$______ Columbia Acorn Select NY 529 Portfolio
$______ Columbia Acorn USA NY 529 Portfolio
$______ Columbia Growth & Income NY 529 Portfolio
$______ Columbia Marsico Growth NY 529 Portfolio
$______ Columbia Intermediate Bond NY 529 Portfolio
$______ Columbia Mid Cap Value NY 529 Portfolio
$______ Columbia Small Cap Value NY 529 Portfolio
$______ Columbia Small Cap NY 529 Portfolio
$______ Columbia Strategic Income NY 529 Portfolio
$______ Columbia Thermostat NY 529 Portfolio
$______ Premiere Aggressive Growth NY 529 Portfolio
$______ Premiere Classic Value NY 529 Portfolio
$______ Premiere Large Cap Value NY 529 Portfolio
$______ Premiere Global Allocation NY 529 Portfolio
$______ Premiere International Equity NY 529 Portfolio

$____________ **Aged-based investment option**

By selecting this investment option, you acknowledge that New York's 529 College Savings Program *Advisor Plan* will automatically allocate your investment as the Designated Beneficiary ages, according to the schedule on the right. The amount you indicate above will be placed in the portfolio that has been designed for the age of your Designated Beneficiary.

Age band (years)	Portfolio name
0-4	Aggressive Growth NY 529
5-8	Growth NY 529
9-12	Moderate Growth NY 529
13-15	Balanced NY 529
16-18	Conservative NY 529
19+	College NY 529

5. Signature

My signature authorizes the Plan to debit my bank account and to deposit such funds into my New York's 529 College Savings Program *Advisor Plan* account, and authorizes the financial institution holding my bank account to debit without responsibility for the accuracy of the transaction.

I agree that none of the State of New York, its agencies, the Federal Deposit Insurance Corporation (FDIC), Columbia Management Group, LLC or its affiliates,
or Upromise Investments, Inc. will be liable for any loss, liability, cost or expense for acting upon such instructions.

Signature of Account Owner

Signature of joint bank account owner (if applicable)

Date

___ / ___ / ______

New York's 529 College Savings Program currently includes two separate 529 plans. The *Advisor* Plan is sold exclusively through financial advisors. You may also participate in the *Direct* Plan, which is sold directly by the Program and offers lower fees. However, the investment options available under the *Advisor* Plan are not available under the *Direct* Plan. The fees and expenses of the *Advisor* Plan include compensation to the financial advisor. Be sure to understand the options available before making an investment decision.

New York's 529 College Savings Program *Advisor Plan* is described in the current applicable Program Brochure and Tuition Savings Agreement. Accounts are opened by completing an Enrollment Application. All of these should be read carefully before opening an account. None of the State of New York, its agencies, the Federal Deposit Insurance Corporation (FDIC), Columbia Management Group, LLC or its affiliates, or Upromise Investments, Inc. insures accounts or guarantees the principal deposited therein or any investment returns on any account or investment portfolio. The value of your account will vary based on market conditions and the performance of the investment options you select, and may be more or less than the amount you deposit. Tax benefits are subject to certain limitations, and certain withdrawals are subject to federal, state and local taxes. If you are a resident or taxpayer of another state, you should consider whether that state offers a 529 Plan with tax or other benefits that are not available through this Program. You should consult your tax advisor. Investments may be made through financial advisors who have entered into *Advisor* Plan selling agreements with Columbia Management Distributors, Inc. distributor.

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